P-11089.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE TRANSMITTAL

William F. Kaemmerer

Treatment of Neurodegenerative Disease through Intracranial Delivery of sIRNA

Serial No.:

10/721,693

Filed:

November 25, 2003

CERTIFICATE OF MAILING

DATE OF DEPOSIT 6-6-05

I hereby certify that this paper is being deposited with the U.S. Postal Service, as First Class Mail under 37 CFR 1.10 on the date indicated above and addressed to the Mail Stop Amendment. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sir:

Enclosed for filing in the above-identified application are the following:

- X Information Disclosure Transmittal
- X Information Disclosure Statement
- X PTO Form 1449
- X Copies of Cited References:
 - 19 Foreign Patent Documents
 - 38 Non-Patent Reference Documents
- X Return postcard
- Accompanying this Transmittal is the \$180.00 fee set forth in 37 C.F.R. \$1.17(p) for submission of an Information Disclosure Statement under §1.97(c).
- _X The Commissioner is hereby authorized to charge or credit any deficiencies or overpayments, that may have been overlooked on this Transmittal with regard to this filing, to Deposit Account No. 13-2546. A duplicate of this Transmittal is enclosed.

Respectfully submitted for,

Kaemmerer, et al.

un 6,2004

Reg. No. 34,982

CUSTOMER NUMBER 27581 Telephone: (763) 505-2521





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

William F. Kaemmerer

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Title:

Treatment of Neurodegenerative Disease through Intracranial Delivery of sIRNA

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, and in accordance with the practice under 37 C.F.R. §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

The above-identified application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i) as stated in a Pre-OG Notice dated July 11, 2003, copies of only the foreign patent documents and non-patent literature listed on the enclosed Form PTO-1449 are attached.

In compliance with the duty of disclosure under 37 C.F.R. §1.56, and in accordance with the practice under 37 C.F.R. §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed information are also enclosed. The undersigned first became aware of the cited patents by their inclusion in an International Search Report issued by the ISA on June 9, 2004.

Respectfully submitted for,

Kaemmerer, et al.

Kenneth J. Collier

Registration No.: 34,982 **CUSTOMER NUMBER 27581** 46/05

Telephone (763) 505-2521

JUN 1 3 2005

INFORMATION DISCLOSURE OVERATEMENT

Atty Docket No:	P11089.00	Serial No.: 10/721.693		
Applicant(s): W	/illiam F. Kaeı	mmerer		
Filing Date: 2	25-Nov-03	Group:	0	

US PATENT DOCUMENTS

3. S/	Į	JS PAT	ENT DOCUMENTS			
TOTAL PROPERTY /*	Document Number	Date	Name of Patentee or Applicant of Cited Document	Class	SubClass	Filing Date If Appropriate
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	US 2002/0068093 A1	06-Jun-02	Trogolo, Jeffrey A., et al	424/618		29-Aug-01
	US 4683195	28-Jul-87	Mullis, Kary B., et al	435/6		07-Feb-86
	US 4683202	28-Jul-87	Mullis, Kary B.	435/91		25-Oct-85
	US 4800159	24-Jan-89	Mullis, Kary B., et al	435/172.3		17-Dec-86
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	US 6594880	22-Jul-03	Elsberry, Dennis			23-May-01
						

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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* - Reference Previously cited and provided to the Examiner.

^{+ -} New Reference not previously cited (available copies included).

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Examiner Initial	+/*	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		DE19938960 Abstract	22-Feb-01	Bisping, Hans-Jurgen	A61N 1/05		17-Aug-99
		WO 00/30567	02-Jun-00	Healthshield Technologies, Inc.			16-Nov-99
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		WO 01/60794A2	23-Aug-01	The Regents of the Univ of CA	C07D		20-Feb-01
		WO 01/91801 A2	06-Dec-01	Chiron Corporation	A61K 48/00	· · · · · · ·	25-May-01
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